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8 UNITED STATES DISTRICT COURT
9 CENTRAL DISTRICT OF CALIFORNIA
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11 EDDIE L. JOHNSON,) NO. CV 07-00987 SS
12)
13 Plaintiff,)
14)
15 v.)
16)
17 MICHAEL J. ASTRUE,)
18 Commissioner of the Social)
19 Security Administration,)
20)
21 Defendant.)
22)
23)
24)
25)
26)
27)
28)

18 INTRODUCTION

19
20 Eddie Johnson ("Plaintiff") brings this action seeking to overturn
21 the decision of the Commissioner of the Social Security Administration
22 (hereinafter the "Commissioner" or the "Agency") denying his application
23 for Disability Insurance Benefits ("DIB") benefits. Alternatively, he
24 asks for a remand. The parties consented, pursuant to 28 U.S.C. §
25 636(c), to the jurisdiction of the undersigned United States Magistrate
26 Judge. For the reasons stated below, the decision of the Commissioner
27 is REVERSED and REMANDED for further proceedings.
28

1 **THE FIVE-STEP SEQUENTIAL EVALUATION PROCESS**

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3 To qualify for disability benefits, a claimant must demonstrate

4 a medically determinable physical or mental impairment that prevents him

5 from engaging in substantial gainful activity¹ and that is expected to

6 result in death or to last for a continuous period of at least twelve

7 months. Reddick v. Chater, 157 F.3d 715, 721 (9th Cir. 1998) (citing

8 42 U.S.C. § 423(d)(1)(A)). The impairment must render the claimant

9 incapable of performing the work he previously performed and incapable

10 of performing any other substantial gainful employment that exists in

11 the national economy. Tackett v. Apfel, 180 F.3d 1094, 1098 (9th Cir.

12 1999) (citing 42 U.S.C. § 423(d)(2)(A)).

13

14 To decide if a claimant is entitled to benefits, an ALJ conducts

15 a five-step inquiry. 20 C.F.R. §§ 404.1520, 416.920. The steps are:

- 16
- 17 (1) Is the claimant presently engaged in substantial gainful
- 18 activity? If so, the claimant is found not disabled.
- 19 If not, proceed to step two.
- 20 (2) Is the claimant's impairment severe? If not, the
- 21 claimant is found not disabled. If so, proceed to step
- 22 three.
- 23 (3) Does the claimant's impairment meet or equal one of list
- 24 of specific impairments described in 20 C.F.R. Part 404,
- 25

26

27 ¹ Substantial gainful activity means work that involves doing

28 significant and productive physical or mental duties and is done for pay

or profit. 20 C.F.R. §§ 404.1510, 416.910.

1 Subpart P, Appendix 1? If so, the claimant is found
2 disabled. If not, proceed to step four.

3 (4) Is the claimant capable of performing his past work? If
4 so, the claimant is found not disabled. If not, proceed
5 to step five.

6 (5) Is the claimant able to do any other work? If not, the
7 claimant is found disabled. If so, the claimant is
8 found not disabled.

9
10 Tackett, 180 F.3d at 1098-99; see also Bustamante v. Massanari, 262 F.3d
11 949, 953-54 (9th Cir. 2001) (citations omitted); 20 C.F.R. §§
12 404.1520(b)-(g)(1) & 416.920(b)-(g)(1).

13
14 The claimant has the burden of proof at steps one through four, and
15 the Commissioner has the burden of proof at step five. Bustamante, 262
16 F.3d at 953-54. If, at step four, the claimant meets his burden of
17 establishing an inability to perform past work, the Commissioner must
18 show that the claimant can perform some other work that exists in
19 "significant numbers" in the national economy, taking into account the
20 claimant's residual functional capacity ("RFC"),² age, education, and
21 work experience. Tackett, 180 F.3d at 1098, 1100; Reddick, 157 F.3d at
22 721; 20 C.F.R. §§ 404.1520(g)(1), 416.920(g)(1). The Commissioner may
23 do so by the testimony of a vocational expert or by reference to the
24 Medical-Vocational Guidelines appearing in 20 C.F.R. Part 404, Subpart
25 P, Appendix 2 (commonly known as "the Grids"). Osenbrock v. Apfel, 240
26

27 ² Residual functional capacity is "what [one] can still do
28 despite [his] limitations" and represents an "assessment based upon all
of the relevant evidence." 20 C.F.R. §§ 404.1545(a), 416.945(a).

1 F.3d 1157, 1162 (9th Cir. 2001). When a claimant has both exertional
2 (strength-related) and nonexertional limitations, the Grids are
3 inapplicable and the ALJ must take the testimony of a vocational expert.
4 Moore v. Apfel, 216 F.3d 864, 869 (9th Cir. 2000).

5 6 STANDARD OF REVIEW

7
8 Under 42 U.S.C. § 405(g), a district court may review the
9 Commissioner's decision to deny benefits. The court may set aside the
10 Commissioner's decision when the ALJ's findings are based on legal error
11 or are not supported by substantial evidence in the record as a whole.
12 Aukland v. Massanari, 257 F.3d 1033, 1035 (9th Cir. 2001); Smolen v.
13 Chater, 80 F.3d 1273, 1279 (9th Cir. 1996).

14
15 "Substantial evidence is more than a scintilla, but less than a
16 preponderance." Reddick, 157 F.3d at 720. It is "relevant evidence
17 which a reasonable person might accept as adequate to support a
18 conclusion." Id. To determine whether substantial evidence supports
19 a finding, the court must "'consider the record as a whole, weighing
20 both evidence that supports and evidence that detracts from the
21 [Commissioner's] conclusion.'" Aukland, 257 F.3d at 1035 (quoting Penny
22 v. Sullivan, 2 F.3d 953, 956 (9th Cir. 1993)). If the evidence can
23 reasonably support either affirming or reversing that conclusion, the
24 court may not substitute its judgment for that of the Commissioner.
25 Reddick, 157 F.3d at 720-21.

DISCUSSION

A. The ALJ Failed To Provide Clear And Convincing
Reasons For Rejecting Plaintiff's Subjective Pain
Testimony

Whenever an ALJ's disbelief of a claimant's testimony is a critical factor in a decision to deny benefits, as it is here, the ALJ must "make an explicit credibility finding" that is "supported by a specific, cogent reason for the disbelief." Rashad v. Sullivan, 903 F.2d 1229, 1231 (9th Cir. 1990) (internal citations omitted). See also Albalos v. Sullivan, 907 F.2d 871, 874 (9th Cir. 1990) (implicit finding that claimant was not credible is insufficient). Unless there is affirmative evidence showing that the claimant is malingering, the ALJ's reasons for rejecting the claimant's testimony must be "clear and convincing." Lester, 81 F.3d at 834.

The ALJ may consider the following factors when weighing the claimant's credibility: (1) her reputation for truthfulness; (2) inconsistencies either in her testimony or between her testimony and her conduct; (3) her daily activities; (4) her work record; and (5) testimony from physicians and third parties concerning the nature, severity, and effect of the symptoms of which she complains. Thomas v. Barnhart, 278 F.3d 947, 958-59 (9th Cir. 2002).

1 As long as plaintiff offers evidence of a medical impairment that
2 could reasonably be expected to produce pain, the ALJ may not require
3 the degree of pain to be corroborated by objective medical evidence.
4 Bunnell v. Sullivan, 947 F.2d 341, 346-47 (9th Cir. 1991) (en banc);
5 Smolen v. Chater, 80 F.3d 1273, 1282 (9th Cir. 1996). The ALJ may not
6 discredit a claimant's testimony of pain and deny disability benefits
7 solely because the degree of pain alleged by the claimant is not
8 supported by objective medical evidence. Bunnell, 947 F.2d at 346-47.
9

10 In resolving the issue of Plaintiff's credibility, the ALJ wrote
11 as follows:
12

13 I partly credit the claimant's testimony regarding his pain,
14 and I have thus found a residual functional capacity in the
15 reduced range of "light" which is more generous than that
16 [of] the treating or examining doctor [citation omitted] have
17 found. I do not fully credit the claimant as the evidence
18 suggest [sic] he is limiting himself far beyond what the
19 record as a whole would support. [citation omitted].
20

21 The ALJ failed to provide clear and convincing reasons for rejecting
22 Plaintiff's testimony. First, the reason provided, that the record as
23 a "whole" does not support Plaintiff's pain testimony, is far too
24 generalized to serve as a clear and convincing reason. The ALJ is
25 required to explain what part of the record casts doubt on Plaintiff's
26 credibility, i.e., a "specific, cogent reason" for his disbelief.
27
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1 Second, there is substantial evidence in the record to support
2 Plaintiff's claim of continuing and serious pain. The diagnostic
3 reports (x-rays and MRIs) show advanced arthritic changes of the spine
4 and shoulders. (See AR 184). These reports would support Plaintiff's
5 claim of severe pain. The 2003 MRI would also support Plaintiff's
6 testimony. (AR 174-75; see also AR 171-72, 230, 252-253, 280, 282
7 (additional x-rays and MRIs)). All of these objective tests would
8 support Plaintiff's testimony. As such, the stated reason offered by
9 the ALJ is not supported by the record and cannot constitute a
10 convincing reason for rejecting Plaintiff's testimony. Remand is
11 required.

12
13 **B. Remand is Required To Remedy Defects In The ALJ Decision**

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15 Remand for further proceedings is appropriate where additional
16 proceedings could remedy defects in the Commissioner's decision. See
17 Harman v. Apfel, 211 F.3d 1172, 1179 (9th Cir. 2000); Kail v. Heckler,
18 722 F.2d 1496, 1497 (9th Cir. 1984).

19
20 Plaintiff's credibility must be reconsidered in the ALJ's
21 assessment of Plaintiff's RFC. In addition, the Court finds that the
22 ALJ must reconsider his RFC, fully considering all of the medical
23 evidence of record. The Court finds that some of the critical objective
24 tests were overlooked by the ALJ in the previous decision. Finally, the
25 ALJ must consider, if determining that Plaintiff can perform other work,
26 whether Plaintiff's limitations prevent him from performing occupations
27 that require frequent or constant reaching.

1 On remand, the parties shall not be precluded from addressing any
2 issue not resolved by this Court.

3
4 **CONCLUSION**

5
6 Consistent with the foregoing, and pursuant to sentence four of 42
7 U.S.C. § 405(g),³ IT IS ORDERED that judgment be entered REVERSING the
8 decision of the Commissioner and REMANDING this matter for further
9 proceedings consistent with this decision. IT IS FURTHER ORDERED that
10 the Clerk of the Court serve copies of this Order and the Judgment on
11 counsel for both parties.

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13 DATED: January 18, 2008.

14 /S/

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16 SUZANNE H. SEGAL
17 UNITED STATES MAGISTRATE JUDGE
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27 ³ This sentence provides: "The [district] court shall have power
28 to enter, upon the pleadings and transcript of the record, a judgment
affirming, modifying, or reversing the decision of the Commissioner of
Social Security, with or without remanding the cause for a rehearing."